

**DISCLOSURE STATEMENT for SHAUN WILLIAM CURTIS
Adviser at Curtis & Co. Financial Services Limited**

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It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser. I can give you advice about category 2 financial products such as life insurance, health insurance, risk insurance, consumer credit contracts, home loans, mortgages, and other insurance products. I can also provide you with class-level (but not personalised) advice on KiwiSaver. I specialise in the area of residential property lending.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so I can try to fix the problem. You may contact our internal disputes service by telephoning 021-1294950, by emailing shaun@ccfs.co.nz, or in writing to PO Box 933, Kerikeri 0245.

If we cannot agree on how to resolve the issue, you can contact Financial Service Complaints Limited (FSCL). This service will cost you nothing and will help us resolve any disagreements. You can contact FSCL by emailing complaints@fscl.org.nz, calling FSCL on 0800 347 257 or in writing to PO Box 5967, Wellington 6140.

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>. The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under "What should you do if something goes wrong?").

Declaration

I, Shaun William Curtis, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:  _____ Date: 09 / 06 / 2020
This Disclosure Statement, (version 003), was prepared on 1st June 2020